Agents With Faces - What Can We Learn From LEGO Minifigures?

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Abstract—Emotional facial expressions are essential for agents. The LEGO company developed hundreds of facial expressions for their Minifigures, which are often the centerpiece of LEGO construction. We investigate and present a summary of the development of the facial expression for all LEGO Minifigures that were released between 1975 and 2010. Our findings are based on several statistical tests that are performed on data gathered from an online questionnaire. The results show that the LEGO company started in 1989 to dramatically increase the variety of facial expressions. The two most frequent expressions are happiness and anger and the proportion of happy faces is decreasing over time. Through a k-cluster analysis we identified six types of facial expression: disdain, confidence, concern, fear, happiness, and anger. Our cluster analysis shows that toy design has become a more complex design space in which the imaginary world of play does not only consist of a simple division of good versus evil, but a world in which heroes are scared and villains can have superior smile. In addition we tested if the perception of the face changes when the face is presented in the context of a complete Minifigure. The impression of anger, disgust, sadness and surprise were significantly influenced by the presence of context information. The distinctiveness of the faces was, however, not significantly improved. The variation in skin color did also not change the perception of the Minifigure’s emotional expression.

I. INTRODUCTION

One of the application of agents, both screen based and robotics, is entertainment. Agents are of major importance in computer games and their facial expressions contribute significantly to their success. But also robots, such as Sony’s Aibo, have a clear goal to play with users. Playing is a very popular activity for children and adults. It is to some degree surprising that there still is a lot of debate about its scientific definition [1]. The role that play has in the development of children has been studied from different perspectives. Most scholars agree on the crucial importance of play not only for developing children wellbeing but also their cognitive and emotional skills, regardless the variety of forms that play and toys can take. Play, including playing with objects, is seen as an activity that helps children to learn [2]. It is through pretend play that children develop the capacity of abstract thought, i.e. thinking about symbols and meanings independently of the objects they represent [3]. Moreover, play allows children learning to practice adult roles and decision-making skills as well as work in groups and resolve conflicts [4].

From the historical perspective play might be treated as a cultural practice that is being influenced by societal processes and technological innovations. The way toys are produced and consumed as well as the way of thinking about childhood have changed significantly over the centuries leading to the current “culture of the child” [5], [6].

A discussion about the relationship between playing with specific toys and intellectual and emotional development is an open research question and has not reached a conclusion. It has been proved that toys might help learning, especially those designed for educational purposes, like LEGO bricks [7]. However, few studies have shown that some toys may have a negative impact, in particular on very young children (5-8 years old). For example, research findings on the Barbie doll have shown that playing with very thin dolls can cause girls’ unhappiness with their bodies [8]. It is also an element of the broader question of the gender bias in toys [9]. LEGO products combine learning with playing but also raise questions about the role of the design of toys and its impact on children.

The Danish company LEGO is one of the biggest toy manufacturers. Company founder Ole Kirk Kristiansen produced wooden toys as early as the 1930s and plastic toys starting in 1947 [10]. The LEGO brick was first patented in 1958 in Denmark [11] and in the following years across Europe and the US. A well written summary of the LEGO company’s history is available [5]. Today, LEGO bricks are sold in more than 130 countries and in 2010 alone LEGO produced more than 36 billion bricks [12]. On average, every person on earth owns around 75 bricks. LEGO is popular with children and adults. Many people never loose their fascination for LEGO and a huge Adult Fan Of LEGO (AFOL) community has emerged over the years. Several books about the AFOL culture have reflect on this culture and the ideas of LEGO [13], [14].

The centerpiece of any LEGO set has to be the LEGO Minifigure (see Figure 1). The Minifigure is meticulously placed within any building or vehicle at the end of construction. The Minifigure enables children to populate their worlds with agents. They are no longer constraints to play with objects, such as cars and houses, but they can put themselves into these worlds through the Minifigure. They can
play roleplaying games and explore human relationships.

Fig. 1. A LEGO Minifigure

The Minifigure was first introduced in 1975 and refined in 1978. The patent on this iconic design was granted in 1979 [15]. The Minifigures soon became a grant success with around 4 billion sold so far. The Minifigure has since then been extended and modified [16]. One of the first changes was the replacement of the torso stickers with prints that were made directly onto the plastic. The stickers could come off due to normal wear and the aging of the glue. In 1989 different designs for the facial expression became available [16]. Until then, every Minifigure had the same enigmatic smile. Now, Minifigures could also be angry or scared. Including ethnic elements further extended the variety of faces. The Indians in the Wild West theme made a start with distinct faces. They were the first faces that included a nose. In 2003 more skin colors were introduced within the NBA theme. The popular basketball player Shaquille O’Neal was portrait in a natural dark brown skin color. This trend was expanded in the licensed themes, such as Harry Potter in 2004. Harry was given a more natural skin color to better represent the actor Daniel Radcliffe. Further innovations in the Harry Potter theme were the introduction of the double-sided heads. The Quirell Minifigure was the first to have two face printed on the head [16]. Rotating the head can quickly change the face of a Minifigure. The licensed themes have become a major part of the LEGO world with the Star Wars theme taking the leading role. The Star Wars Minifigures have caught the attention of the LEGO world with the Star Wars theme taking the leading role. The Star Wars Minifigures have caught the attention of the LEGO world with the Star Wars theme taking the leading role. The Quirell Minifigure was the first to have two face printed on the head [16]. Rotating the head can quickly change the face of a Minifigure. The licensed themes have become a major part of the LEGO world with the Star Wars theme taking the leading role. The Star Wars Minifigures have caught the attention of the LEGO world with the Star Wars theme taking the leading role. The Star Wars Minifigures have caught the attention of the LEGO world with the Star Wars theme taking the leading role.

The Minifigure also grew out of the LEGO sets. Already in 1982 Minifigure key rings were introduced [18]. Minifigures are also part of chess games, LED flashlights and books. Naturally they are also the main characters for most LEGO computer games. In 2010 LEGO introduced the independent Minifigure theme. Minifigures are now available that are no longer part of any other set. They are marketed as collectable items. Each series consists of 16 different Minifigures that are individual sold in sealed and unmarked bags. The themes in which the LEGO company released its sets and Minifigures can be classified by the Systema Minifigure Taxonomy (see figure 2).

The vast use and popularity of LEGO has motivated us to investigate how the LEGO Minifigures have evolved over the past 35 years (1975-2010). In particular, this paper addresses the users’ perception of the facial expressions on the LEGO Minifigure faces. Over the years, LEGO produced face bricks that map the different facial expression states and facial exaggerations in the style of cartoon. In this context, a facial cartoon exaggerates face features for a comical effect, and can create an entertaining, humorous, and cartoon-like description of a face. The head parts are mainly exaggerated to produce the cartoon-like facial effects that include the nose, eyes, eyebrows, lips, hair and ears. As LEGO bricks are considered toys, the use of a cartoon like exaggeration plays an important role in the LEGO construction, as it brings together a good entertainment format.

The work presented in this paper can lead other researchers in the field of understanding the science of play to investigate further the influence the LEGO Minifigures’ facial appearance have on LEGO users over time. We believe that the extensive and elaborate designs of faces on LEGO Minifigures can also inform the designers of other agents, such as computer game characters and robots. The LEGO company has developed hundreds of designs and can therefore be considered one of the most extensive set of agent faces.

A. Facial Expressions of Emotions

[19] defines the bases of human emotions to involve “physiological arousal, expressive behaviors, and conscious experience”. [20] proposed the following classifications: emotions as expressions, emotions as embodiments, cognitive theories of emotions, emotions as social constructs and neural basis of emotions. Moreover, due to the complexity of defining emotions, [20] gave a comprehensive definition of emotions as follows: “emotions are constructs (i.e. conceptual quantities that cannot be directly measured) with fuzzy boundaries and with substantial variations in expression and experience”. In the context of our study, we focus on the facial expression of emotion, which is an expressive behavior that is triggered on an individual’s face, due to the internal feeling (or emotional state), and conveyed to the observer. Several researchers revealed that facial expressions are universal across cultures such as the work by [21], [22], [23]. The most widely used definition of universal facial expression is defined by [24], and they are: disgust, sadness, happiness, fear, anger, surprise. In addition, other work, in psychology, addressed the importance of the intensity level of the facial expression of emotions, such as the work by [25]. She studied facial expressions of emotions based on different intensity levels of Activation (arousal level, and it is expressed on face) and Evaluation (agreement level, and it is expressed through internal feelings). A number of researchers [26], [27] have used her findings to map different intensities of basic facial expressions of emotion to the face of virtual agents.
Fig. 2. Systema Minfiguræ Taxonomy for the years 1975-2010

Moreover, facial expressions relate not only to the way people express emotions but also to how they interpret them while expressed by others. An attempt to understand the latter, for example, is an area of research in the field of Affective Computing (AC), which aims to detect the basic emotions from the face; the results can be applied in different areas, among which animation, virtual humans and robotics [20], [28].

In this paper we present a study that is focused on investigating how users observe the iconic representations of the facial expressions of emotions conveyed by the LEGO minifigures over the years. We allow participants to not only define the observed emotional facial expression of the LEGO minifigures based on the basic universal emotions, but also with different intensities of the facial expressions.

Research in the field of Design & Emotions focuses on “understanding the emotions of product users, and on the development of tools and techniques that facilitate an emotion-focused design process” [29] while self-reports are used to “assess respondents behaviors, attitudes and subjective experiences, like moods, emotions or pain [30]. However, we invited participants to evaluate LEGO facial expressions and not their own emotional reactions or preferences towards LEGO minifigures. Our research methods therefore takes a slightly different approach than the established Design & Emotion research process, although a certain overlap certainly exists.

The limitation of the methodology we used lies in specificity of questionnaires and the Likert-type scale: a predefined set of answers does not allow participants expressing a full range of opinions. Nevertheless, in our opinion the use of questionnaires based on labels is a suitable and widely used research technique to study six basic facial expressions [31], [32]. LEGO minifigures by definition provide a simplified representation of human-like emotions and an in-depth analysis of all possible perceptions of LEGO facial expressions goes beyond the scope of this study.

B. Design

The Minifigures consist of a head, torso, arms, hands, hip and legs (see Figure 3). The Minifigure has seven degrees of freedom and is exactly four standard bricks tall, which is equal to 4.1mm. A Minifigure can have accessories on its head, such as hair, helmets and hats. Accessories are also often found around the neck, such as capes, or under the feed, such as flippers. Many Minifigures also hold items in their hands, such as swords, tools and books. At times, hands, arms and legs are replaced by special items, such as hooks and wooden legs.

The different parts of a Minifigure can be made of different colored plastics and prints can be made on the head, torso, arms, hip and legs. There are a great number of possibilities to combine the parts, which allows LEGO to provide an enormous variety of Minifigures. Two Minifigures may, for example, only differ by the face that is printed on their head.

The face of the Minifigure is of particular importance, since it gives the strongest indicator of the emotional state of the character. People both consciously and subconsciously use facial expressions to communicate their emotions and intentions through variations in gaze direction, voice tone and gesture speed. Ekman showed that expressing emotions through the face is a natural activity for humans and that it takes considerable effort to mask them [24]. There has also been a considerable debate on how much the context in which an emotion appears influences its perception. Carroll and Russell pointed out that situational information does indeed influence how a face is perceived [33]. This result is of interest to the design of Minifigures, since the same head can be combined with different bodies.

For the first eleven years, only one smiley face was produced, but since then the number of different faces seem to have increased and also the themes that LEGO is producing subjectively appear to become increasingly aggressive. The Bionicle theme could be the scariest theme at this point in time. The Minifigures might not yet be as aggressive as the characters in the Bionicle theme, but skeleton warriors are also in their repertoire. In this study, we are trying to address the following research questions:

1) What emotions do the face in the LEGO Minifigure express?
2) How did the emotional expression of the faces change over time?
3) What influence does the context of the whole Minifigure have on the perception of its face?

II. Method

A. Setup

We photographed all the 3655 Minifigures that were released between 1975 and 2010. We identified 628 different heads and cut them out from the photographs. These 628 photos of the faces were the basis of our experiment. For heads that had two faces printed on it, we randomly selected either the front or the back face. This allowed us to have only one representative face per figure and it was not necessary to increase the already large set of stimuli. We looked up the
year in which the head was first introduced from a database of Minifigures [34]. We then randomly selected 100 heads. For these heads we randomly selected an associated Minifigure. We manually checked these Minifigures and six of them were not suitable for our experiment, since the face was not clearly visible on the Minifigure. A helmet, for example, covered a large portion of the face.

We created an online questionnaire that showed all the 628 heads and the 94 Minifigures. The Participants were asked to rate the emotional expression based on the scale shown in Figure 4. We utilized Amazon Mechanical Turk (MT) 1 to recruit participants and to administer the questionnaire. It has been shown that results obtained through MT are comparable to those obtained through the conventional method of questionnaires [35]. There is no substantial difference between results obtained through an online questionnaire and results received through MT.

B. Measurements

Each face was rated on five point Likert scales ranging from very weak to very intense. The selection categories of the facial appearance are based on the work of Paul Ekman [24], who grouped the universal facial expressions into the following six categories: anger, disgust, fear, happiness, sadness, and surprise. Each of these categories has a number of intermediate facial expressions that are based on the intensity level and the expression details. Therefore, we asked participants to give one rating on one of the six scales that were labeled: anger, disgust, fear, happiness, sadness, and surprise. With one click the participants thereby identified the emotional facial expression and rated its intensity (see Figure 4).

C. Process

After reading the instructions, participants started rating the randomly presented images. The participants could rate as many faces as they wanted, but they could not rate the same image twice. Participants received one cent per rating.

D. Participants

264 adult participants, located in the US, filled in the questionnaire. MT automatically made sure that exactly 30 different participants rated each image. To protect the privacy of its workers, MT does not directly allow to survey demographic data and hence this data is not available for this study. Previous surveys on the population of Mechanical Turk Users (MTU) reveals that MTUs from the US tend to be well educated, young, and with moderately high incomes, and roughly equally as many males as females [36], [37]. Mechanical Turk has been shown to be a viable, cost effective method for data collection that reduces threats to internal validity [38].

MT is only available for registered users, which does include a Captcha test. MT has in addiction a reputation system in place which enables requesters and workers to provide feedback. We can therefore assume that no automatic spam responses have been recorded. We performed a visual inspection to check for any obvious patterns in the data, such as respondents always giving the same answer. We could not find any obvious patterns.

III. RESULTS

On average, participants rated 82.05 images with a standard deviation of 155.3. The average response time per image was 17.33 seconds. On average, each face was rated on 3.9 different emotion scales with a standard deviation of 1.39. This indicates that many faces are to some degree ambiguous. The data for one face was corrupted due to a software failure and was therefore excluded from the further analysis. The remaining 627 faces form the basis for the statistical tests described below.

A. Distribution of facial expressions

We calculated the most dominant emotional expression per face by first identifying on which emotional scale the faces was rated most often. In case a face was rated 28 times as happy and two times as surprised then happiness was selected as the dominant emotion. In case of a tie, the emotional category with the higher average intensity was selected. For example, a face could have been rated 15 times as fear and 15 times as surprise. If the average intensity rating of fear was higher than the average intensity rating for surprise, then fear was selected as the dominant emotion. Table I shows the count of faces per emotion based on the calculation of the dominant emotion per face. Most Minifigure faces have been rated as

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1http://www.mturk.com/
happiness followed by anger. The other four emotions were observed considerably less.

### TABLE I. COUNT OF FACE PER EMOTION

<table>
<thead>
<tr>
<th>Emotion</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Happiness</td>
<td>324</td>
</tr>
<tr>
<td>Anger</td>
<td>192</td>
</tr>
<tr>
<td>Sadness</td>
<td>49</td>
</tr>
<tr>
<td>Disgust</td>
<td>28</td>
</tr>
<tr>
<td>Surprise</td>
<td>23</td>
</tr>
<tr>
<td>Fear</td>
<td>11</td>
</tr>
</tbody>
</table>

1) Cluster Analysis: We performed a k-cluster analysis to check if the faces would fall into certain design patterns. For this analysis we used the all six emotion ratings for every face. If, for example, a face F was rated 20 times on the surprise scale with an average of 4.2 and 10 times on the fear scale with an average of 3.1, then the data in Table II would be represent face F.

### TABLE II. DATA REPRESENTATION OF FACE F BASED ON AVERAGE INTENSITY RATINGS

<table>
<thead>
<tr>
<th>F</th>
<th>Anger</th>
<th>Disgust</th>
<th>Fear</th>
<th>Sadness</th>
<th>Happiness</th>
<th>Surprise</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3.1</td>
<td>4.2</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

This data represents a non-weighted average. We tried several values for the number of clusters k, but at no setting a meaningful result could be obtained. Table III shows the final clusters for k=6 after ten iterations.

### TABLE III. FINAL CLUSTERS FOR K=6

<table>
<thead>
<tr>
<th></th>
<th>Cluster 1</th>
<th>Cluster 2</th>
<th>Cluster 3</th>
<th>Cluster 4</th>
<th>Cluster 5</th>
<th>Cluster 6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anger</td>
<td>1.3080</td>
<td>3.5048</td>
<td>3.7042</td>
<td>2.8381</td>
<td>3.1558</td>
<td>2.4135</td>
</tr>
<tr>
<td>Disgust</td>
<td>1.6542</td>
<td>3.0613</td>
<td>2.0031</td>
<td>2.8783</td>
<td>1.7540</td>
<td>2.9300</td>
</tr>
<tr>
<td>Fear</td>
<td>1.6842</td>
<td>1.0714</td>
<td>1.8583</td>
<td>2.6750</td>
<td>2.8611</td>
<td>2.6950</td>
</tr>
<tr>
<td>Sadness</td>
<td>1.6423</td>
<td>1.5969</td>
<td>2.3145</td>
<td>3.7657</td>
<td>1.4250</td>
<td>2.1151</td>
</tr>
<tr>
<td>Happiness</td>
<td>1.5012</td>
<td>2.3262</td>
<td>1.7079</td>
<td>2.4189</td>
<td>2.0848</td>
<td>1.6418</td>
</tr>
<tr>
<td>Surprise</td>
<td>1.7256</td>
<td>2.9024</td>
<td>1.5967</td>
<td>2.8000</td>
<td>3.0926</td>
<td>2.3765</td>
</tr>
</tbody>
</table>

No clear clusters become visible. The results of this test show that too many faces were rated on too many scales. The average was, as already mentioned above, 3.9. It is not possible to plot the six dimensional space that represents our data, but we believe that our data would form a widely spread cloud of points. Using a weighted average would have not helped, since it would have not changed the fact that the faces were rated on too many different scales.

We therefore decided to repeat the cluster analysis only on the basis of the frequency of the classifications. We ignored the intensity ratings. Using the example above, Face F would then be represented as shown in Table IV.

### TABLE IV. DATA REPRESENTATION OF FACE F BASED ON FREQUENCY

<table>
<thead>
<tr>
<th>F</th>
<th>Anger</th>
<th>Disgust</th>
<th>Fear</th>
<th>Sadness</th>
<th>Happiness</th>
<th>Surprise</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>20</td>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

A k-cluster analyses provides results for any k and we decided to set k = 6 in order to check if it would result in the same clusters as the emotional categories we presented to the participants. The resulting six clusters did not match the emotional categories directly. Two variations of happiness and anger emerged and the clusters were significantly distant to each other (p<0.001). Table V shows the six clusters, an example face, its distance to the center of the cluster and how many faces fall into each cluster. Two clusters that include a considerable amount of happiness have been identified. We viewed some faces that are in the center of the cluster and interpreted their expression. We labeled the more negative form of happiness as confidence. Also two types of anger have emerged from the cluster analysis. One is a rather straight form of anger, while the other includes more mixed emotions. After reviewing some central faces, we interpreted this cluster as disdain. Cluster three loads strongly on the sadness emotion, but it does not seem to be as clean as for example the happiness cluster. We reviewed several central faces in this cluster and interpreted them as "Concern".

### B. Distribution of facial expressions across time

The faces might not only be unevenly distributed across emotional categories, but also across the years in which they were released. We therefore plotted how many faces were newly introduced per year. Figure 5 shows that the number of new releases has grown substantially over the years.

![Fig. 5. Number of new heads across years](image)

It is of interest to see how the proportion of a certain emotion might have changed over time. Since the total number of faces per years varies substantially, we used the proportions of faces in a certain emotional category instead. If in a year 20 new faces were released and 10 of them were rated predominantly as happy then the graph would indicate a value of 50% (see Figure 6).

![Fig. 6. Proportion of emotional categories over time](image)
We estimate a curve of best fit for each of the emotion categories. A linear model turned out to be the best fit for all emotion categories, but the enormous spread of the data resulted in models that are not able to significantly represent the data. For the angry faces, the linear model was only able to explain 0.1% of the variance. Table VI shows the $R^2$ values and the significance level for each of the linear estimations.

**TABLE VI. RESULTS OF THE LINEAR CURVE ESTIMATIONS ACROSS THE SIX EMOTION CATEGORIES.**

<table>
<thead>
<tr>
<th>Emotion</th>
<th>$R^2$</th>
<th>Sig.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Happiness</td>
<td>0.010</td>
<td>0.069</td>
</tr>
<tr>
<td>Anger</td>
<td>0.001</td>
<td>0.654</td>
</tr>
<tr>
<td>Sadness</td>
<td>0.034</td>
<td>0.205</td>
</tr>
<tr>
<td>Disgust</td>
<td>0.037</td>
<td>0.324</td>
</tr>
<tr>
<td>Surprise</td>
<td>0.010</td>
<td>0.651</td>
</tr>
<tr>
<td>Fear</td>
<td>0.017</td>
<td>0.699</td>
</tr>
</tbody>
</table>

**C. Context**

Next, we analyzed if the context may influence the distinctiveness of the face. Would the expression of a face become clearer if it was presented within the context of a whole Minifigure? We performed a paired sample t-test in which the context (face or body) was the independent variable and the average frequencies per emotional category (anger, disgust, fear, sadness and happiness) were the dependent variables. Table VII shows the mean frequencies of the emotional categories across the two contexts. The mean for anger, disgust, sadness and surprise were significantly different. For anger and happiness the context of the body increased the mean frequency, while for disgust and sadness the context decreased the mean frequencies.

**TABLE VII. MEAN, STANDARD DEVIATION AND P ACCROSS EMOTIONAL CATEGORIES.**

<table>
<thead>
<tr>
<th>Emotion</th>
<th>Mean Head</th>
<th>Mean Body</th>
<th>Std.Dev.</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anger</td>
<td>3.968</td>
<td>2.839</td>
<td>3.597</td>
<td>0.022</td>
</tr>
<tr>
<td>Disgust</td>
<td>3.462</td>
<td>2.688</td>
<td>2.468</td>
<td>0.003</td>
</tr>
<tr>
<td>Fear</td>
<td>1.301</td>
<td>1.602</td>
<td>3.103</td>
<td>0.352</td>
</tr>
<tr>
<td>Sadness</td>
<td>1.624</td>
<td>1.172</td>
<td>2.159</td>
<td>0.047</td>
</tr>
<tr>
<td>Happiness</td>
<td>12.946</td>
<td>13.677</td>
<td>4.062</td>
<td>0.086</td>
</tr>
<tr>
<td>Surprise</td>
<td>2.699</td>
<td>2.022</td>
<td>2.183</td>
<td>0.004</td>
</tr>
</tbody>
</table>

We then analyzed if the context may influence the distinctiveness of the face. Would the expression of a face become clearer if it was presented within the context of a whole Minifigure? We performed a paired sample t-test in which the
context was the independent variable. The number emotional
categories a face was categorized on and the associated χ²
value were the dependent variable. A face is very distinct if it
was categorized into only on very few emotional categories.
The mean χ² value for the face condition \( (m = 0.0015) \) was
not significantly \( (t(92) = -0.623, p = 0.535) \) higher than the
mean χ² value for the body condition \( (m = 0.0039) \). The
mean number of categories for the face condition \( (m = 3.98) \)
was not significantly \( (t(92) = 0.592, p = 0.556) \) higher than the
mean mean number of categories for the body condition
\( (m = 3.90) \).

Finally, we analyzed if the change in the skin color that was
first introduces in the Harry Potter theme had any influence on
how the face was perceived. In our set of photographs of the
full Minifigure, we had included the Harry Potter Minifigure
with two different skin colors: Figure 8(a) shows the natural
skin color introduced in 2004 and Figure 8(b) shows the
traditional yellow LEGO skin color.

Table 8 shows how often the two Harry Potter Minifigures
were classified into the six emotion categories. We conducted a χ²
test on this data and although the assumption of the mini-
imum expected cell frequency was slightly violated. 83% of the
cells had a value of less than five. The χ² test showed that there
was no significant difference (Pearson χ² = 1.953, \( p = 0.856 \))
between the ratings of the two Harry Potter Minifigures. Next,
we conducted a t-test only on the intensity scores of those
participants that had classified the Minifigures as happy, which
were 19 for the natural and 22 for the yellow Harry Potter. The
t-test showed that there was no significant difference in the
intensity scores \( (t(39) = -0.426, p = 0.672) \). The different
skin color did not result in a significantly different evaluation
by the users.

<table>
<thead>
<tr>
<th>Color</th>
<th>Happiness</th>
<th>Fear</th>
<th>Anger</th>
<th>Sadness</th>
<th>Disgust</th>
<th>Surprise</th>
<th>Total</th>
</tr>
</thead>
<tbody>
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<td>3</td>
<td>3</td>
<td>1</td>
<td>40</td>
</tr>
<tr>
<td>Yellow</td>
<td>22</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>30</td>
</tr>
<tr>
<td>Total</td>
<td>61</td>
<td>4</td>
<td>2</td>
<td>5</td>
<td>5</td>
<td>3</td>
<td>60</td>
</tr>
</tbody>
</table>

IV. CONCLUSIONS

The number of new faces that the LEGO company intro-
duces every year is increasing steadily. Creating variations
of Minifigures could possibly be more cost effective by creating
different face prints than torso prints. If the current trend
continues, then soon every Minifigure in every set will be unique.

Only in the early 90s did the LEGO company start to
produce a greater variety of faces. Happiness and anger seem
to be the most frequent emotional expression of the Minifigure
faces and their intensity is widely scattered. This scatter makes it
very difficult to create a model that would adequately
represent the development of faces over time. Still, we can
observe a trend over time that the proportion of happy faces
decrease and the proportion of angry faces increase. We have
been able to identify six different clusters of faces. There are
two different types of happiness and two different types of
anger.

Four out of six emotional categories were significantly
influenced by the context in which the face was presented. For
anger the presence of the body increased the frequency
of how often the face was categorized as such. For disgust,
sadness and surprise, the presence of the body decreased the
frequency. The context nearly significantly increased the
frequency in the happiness category. We have to be careful
with the interpretation of this result. A change in frequency
does not necessarily mean that a face becomes more or less
distinct. Our analysis of the faces distinctiveness shows that
the availability of the context did not significantly increase the
faces distinctiveness.

The introduction of more natural skin colors did not change
how participants perceived the Harry Potter Minifigure. Since
we only had this one sample of two different skin colors for
the same Minifigure, we cannot necessarily generalize to all
of the Minifigures that have been released with two different
skin colors.

We have to consider this distribution of faces across emo-
tional categories in the context of the LEGO themes. After all,
most Minifigures are released in sets that belong to a certain
theme, such as Pirates or Harry Potter. It is our impression that
the themes have been increasingly based on conflicts. Often a
good force is struggling with a bad one. May it be the good
knights against the skeleton warriors or the space police against
alien criminals. But the facial expressions are not directly
matched to good and evil. Even the good characters suffer
in their struggle and the villains can have a smug expression.
In any case, the variety of faces has increased considerable.

We cannot help but wonder how the move from only
positive faces to an increasing number of negative faces impacts
how children play. So far LEGO did at least not produce
classical military themes. There is no LEGO Desert
Storm or LEGO D-Day. The Megablocks company, on the
other hand, is producing a LEGO compatible construction toys
that do fill this market space. Their HALO line of products,
which is directly related to the popular computer game of the
same name, is clearly embedded in a military culture. Other
companies, such as Brickarms, are also already offering LEGO
compatible weapons for Minifigures.

But also LEGO has a considerable array of weapon systems
in their program, although the weapons mainly appear in the
fictional themes. But their presence indicated that also LEGO
is moving towards a more conflict based play themes. This
development might be unavoidable to sustain a strong market
position. Still, LEGO might not be able to hold onto its highly positive reputation. The children that grow up with LEGO today will remember not only smileys, but also anger and fear in the Minifigures’ faces.

Designers of agent faces should take great care to design the expressions and to test their effect since toys play an important role in the development of children. The example of the Minifigures show that to appeal to users it is necessary to offer a wide range of emotional expressions that connect to the complex interaction scenarios of today’s users. Instead of focusing on highly realistic expressions, it may be worthwhile to increase the variability of expressions. A comic style expression is sufficient to convey a full spectrum of emotions and intensities.

A. Limitations

Participants in this study could rate as many or as little faces as they wanted. The number of faces they rated varies greatly and hence we do recommend a Bayesian approach to conduct more advanced statistics for future studies as described in [35].

REFERENCES


